
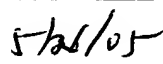
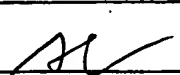


INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket: 3382-53553		App: 09/425,102	
		Applicant: Helland et al.			
		Filed: October 22, 1999		Art Unit: 2756	
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EXAMINER:			DATE		
			5/28/05		
*Examiner: Initial if considered, whether or not in conformance with MPEP 609: draw line through cite if not in conformance and not considered. Send copy					

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket: 3382-53553	App: 09/425,102
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SXL			Moffett J.D. & Sloman M.S. (1991b), The Representation of Policies as System Objects, Proceedings of the Conference on Organisational Computer Systems (COCS'91) Atlanta, GA, 5--8 November 1991, in SIGOIS Bulletin vol 12, nos 2 & 3, pp 171-184 (16 pages in downloaded format).	
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*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.				


INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-48511		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
U.S. PATENT DOCUMENTS							
Init. *		Number	Date	Name	Class	Sub	Filed
5XL		5,884,316	3/16/99	Bernstein et al.			
		4,752,928	6/21/88	Chapman et al.			
		5,706,429	1/6/98	Lai et al.			
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		5,875,291	2/23/99	Fox			
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		5,881,225	3/1999	Worth			
		5,717,439	2/1998	Levine et al.			
		5,455,953	10/1995	Russell			
		5,778,365	7/1998	Nishiyama			
5XL		5,524,238	6/1996	Miller et al.			
EXAMINER: <i>ML</i>				DATE 5/26/05			
*Examiner: Initial if considered, whether or not in conformance with draw line through cite if not in conformance and not considered.							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-48511		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
U.S. PATENT DOCUMENTS							
Init. *		Number	Date	Name	Class	Sub	Filed
SXL		5,838,916	11/17/98	Domenikos et al.			
		5,832,274	11/3/98	Cutler et al.			
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SXL		5,481,715	1/2/96	Hamilton et al.			
EXAMINER: <i>ML</i>				DATE: 5/26/05			
*Examiner: Initial if considered, whether or not in conformance with MPEP 2112.02 draw line through cite if not in conformance and not considered. See							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket: 3382-48511	App: 09/425,102
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SXL			Franklin, "Concurrency Control and Recovery," <i>The Computer Science and Engineering Handbook</i> , pages 1058-1077 (1997)	
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			5/26/05	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
U.S. PATENT DOCUMENTS							
Init.*		Number	Date	Name	Class	Sub	Filed
SXL		6,105,147	8/2000	Molloy			
SXL		5,933,593	8/1999	Arun et al.			
EXAMINER: <i>SV</i>				DATE <i>5/26/05</i>			
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
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SXL			Tucker, Jr. (Editor), Concurrency Control and Recovery, <i>The Computer Science and Engineering Handbook</i> , pages 1058-1077 (December 1996)				
EXAMINER: <i>SL</i>				DATE: 5/26/05			
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-53553		App: 09/425,102	
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SXL		5,890,161	3/30/1999	Helland et al.			
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SXL			Tucker, Jr. (Editor), Concurrency Control and Recovery, <i>The Computer Science and Engineering Handbook</i> , pages 1058-1077 (December 1996)				
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket: 3382-53553		App: 09/425,102	
		Applicants: Helland et al.			
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EXAMINER: <i>SL</i>			DATE: 5/26/01		
*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send cc					

**INFORMATION DISCLOSURE
STATEMENT**
BY APPLICANT

Docket: 3382-53553

App: 09/425,102

Applicant: Helland et al.

Filed: October 22, 1999

Art Unit: 2756

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	5,765,174	6/98	Bishop et al.			
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SXL	5,889,942	3/30/99	Orenshteyn			


EXAMINER:

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*Examiner: Initial if considered, whether or not in conformance with MPEP 6
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EXHIBIT B
Page 3 of 4

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket: 3382-53553	App: 09/425,102
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			Filed: October 22, 1999	Art Unit: 2756
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EXAMINER: <i>M</i>			DATE 5/28/05	
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
U.S. PATENT DOCUMENTS							
Init.*		Number	Date	Name	Class	Sub	Filed
SXL		5,212,793	5/1993	Donica et al.			
		5,794,038	5/1996	Stutz et al.			
		5,958,004	9/1999	Helland et al.			
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		5,802,291	9/1998	Balick et al.			
		5,889,957	3/1999	Ratner et al.			
		6,026,428	2/2000	Hutchison et al.			
SXL		5,581,686	12/1996	Koppolu et al.			
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		Number	Date	Country	Class	Sub	
SXL		EP 0 777 178 A	6/4/1997	Europe			
		EP 0 738 966 A	10/23/96	Europe			
		WO 98 02809 A	21/22/98	International			
		EP 0 414 624 A	2/27/91	Europe			
SXL		EP 0 674 260 A	9/27/95	Europe.			
EXAMINER: 				DATE 5/26/05			
*Examiner: Initial if considered, whether or not in conformance with MPEP 600. draw line through cite if not in conformance and not considered. Send copy							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket: 3382-53553	App: 09/425,102
			Applicant: Helland et al.	
			Filed: October 22, 1999	Art Unit: 2756
OTHER DOCUMENTS				
<i>SxL</i>			R. Limprecht, "Microsoft Transaction Server", IEEE, pp. 14-18 (2/1997)	
			G. Eddon, "COM+: The Evolution of Component Services", IEEE on Computer, pp. 104-106 (7/1999)	
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			(No author given) "Transaction Context Objects in Microsoft TRansaction Server", MSDN, pp (2) (6/1997)	
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<i>SxL</i>			Tripathi, A.R. et al.: "Design Of A Remote Procedure Call System For Object-Oriented Distributed Programming", Software Practice & Experience, GB, John Wiley & Sons Ltd., Chichester, Vol. 28, No. 1, pp. 23-47 XP000723715, ISSN: 0038-0644 – the whole document	
EXAMINER: <i>M</i>			DATE: <i>5/28/05</i>	
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send cop.				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helland et al.

Art Unit: 2756

Application No. 09/425,102

Filed: October 22, 1999

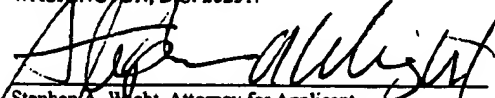
For: SOFTWARE COMPONENT EXECUTION
MANAGEMENT USING CONTEXT OBJECTS
FOR TRACKING EXTERNALLY-DEFINED
INTRINSIC PROPERTIES OF EXECUTING
SOFTWARE COMPONENTS WITHIN AN
EXECUTION ENVIRONMENT

Examiner: Not yet assigned

Date: December 10, 2001

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on December 10, 2001 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.


Stephen A. Wight, Attorney for Applicant

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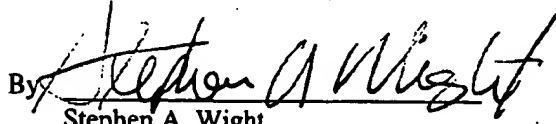
Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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Client

EXHIBIT H
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